



08-21-06

10/015-395-

cofc

PATENTS

Attorney Docket No. 39780-2830 P1C57

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent No.: 7,083,946 B2  
Dated: August 1, 2006  
Inventor(s): Baker, et al.

**MS: Certificate of Correction Branch**  
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

Sir:

**REQUEST FOR CERTIFICATE OF CORRECTION**

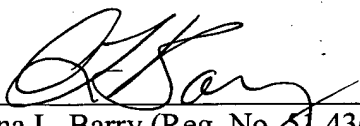
Patentee hereby requests a Certificate of Correction since the issued patent shows incorrect priority information under "Related U.S. Application Data." The correct priority information is that shown in the Preliminary Amendment filed August 29, 2002, and as shown in the Updated Filing Receipt mailed April 10, 2003.

Submitted herewith are copies of the Preliminary Amendment filed on August 29, 2002, and the Updated Filing Receipt mailed April 10, 2003, along with a completed Form PTO-1050 containing the requested correction. A return receipt postcard is enclosed.

No fee is believed due, because this is the correction of an Office mistake. Please charge any fees that may be required to Account No. 08-1641, referencing Attorney docket 39780-2830 P1C57. A duplicate of this document is enclosed.

Respectfully submitted,

Date: August 18, 2006

By:   
Anna L. Barry (Reg. No. 51,436)

**Heller Ehrman, LLP**  
Customer No. 35489  
275 Middlefield Road  
Menlo Park CA 94025-3506  
Tel: 650/324-7000  
Fax: 650/324-0638

**Certificate**  
AUG 24 2006  
**of Correction**

AUG 25 2006

Please type a plus sign (+) inside this box ☐

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number		10/015,395	
Filing Date		December 12, 2001	
First Named Inventor		Kevin P. Baker	
Group/Art Unit		1647	
Examiner Name		Robert S. Landsman	
Total Number of Pages in This Submission	12	Attorney Docket Number	39780-2830 P1C57

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Version With Markings Showing Changes <input type="checkbox"/> Declaration/Affidavit <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Copy of Notice	<input type="checkbox"/> Copy of an Assignment <input type="checkbox"/> Drawing(s) <input checked="" type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, by Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71 With Revocation of Prior Powers <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S) (PLEASE IDENTIFY BELOW): <input checked="" type="checkbox"/> Certificate of Correction in duplicate (PTO-1050); Copy of Preliminary Amendment of 8/29/2002 with Copy of Return Receipt Postcard; Copy of Updated Filing Receipt of 4/10/2003; and Return Postcard.
Remarks AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39780-2830 P1C57.		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	HELLER EHRMAN LLP		ANNA L. BARRY (Reg. No. 51436)	
	275 Middlefield Road, Menlo Park, California 94025		Telephone: (650) 324-7000	Facsimile: (650) 324-0638
Signature				
Date	AUGUST 18, 2006	Customer Number:	35489	

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: MAIL STOP Certificate of Correction Branch Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on this date: AUGUST 18, 2006			
Express Mail Label EV 765 984 815 US			
Typed or printed name	L. ACOSTA		
Signature		Date	AUGUST 18, 2006

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AUG 25 2006

# UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

**PATENT NO. :** 7,083,946 B2

**DATED :** August 1, 2006

**INVENTOR(S):** Kevin P. BAKER, et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the front page, in the "Related U.S. Application Data":

(63) Change "filed as application No. PCT/US99/20111 on October 18, 1999" to -- filed as application No. PCT/US99/20111 on September 1, 1999 --

--

MAILING ADDRESS OF SENDER:

Ginger R. Dreger  
HELLER EHRMAN LLP  
275 Middlefield Road  
Menlo Park, California 94025

**PATENT NO.:** 7,083,946 B2  
**Atty. No.:** 39780-2830 P1C57

August 18, 2006

SV 2227112 v1

AUG 23 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

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**DATED :** August 1, 2006

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**Ginger R. Dreger  
HELLER EHRMAN LLP  
275 Middlefield Road  
Menlo Park, California 94025**

**PATENT NO.: 7,083,946 B2  
Atty. No.: 39780-2830 P1C57**

**August 18, 2006**

SV 2227112 v1

**AUG 25 2006**



BEST AVAILABLE COPY

**In Re Application of:** Kevin P. Baker et al.

Serial No: 10/015,395

Docket No: P2830P1C57

Filed on: December 12, 2001

By: Elizabeth M. Barnes

Mailed on: August 29, 2002

Reg. No.: 35,059

The following has been received by the U.S. Patent Office on the date stamped.

- ☒ Preliminary Amendment
- ☒ Post card





Patent Docket P2830P1C57

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Kevin P. Baker et al.  Serial No.: 10/015395  Filed: 12/12/2001	Group Art Unit: Not yet assigned  Examiner: Not yet assigned  <div><p>CERTIFICATE OF MAILING</p><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p><p>August 29, 2002</p><p><i>Patricia Terrill</i></p><p>Patricia Terrill</p></div>
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**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

**In the specification:**

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

**---RELATED APPLICATIONS**

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which claims priority under 35 USC §119 to US Provisional Application 60/144758 filed 7/20/1999, where PCT Application PCT/US00/04342 is a

**Patent Docket:** P2830P1057

**Serial No.:** 10/015395

**Filed:** 12/12/2001

continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101477 filed 9/23/1998.--

**Patent Docket:** P2830P1057

**Serial No.:** 10/015395

**Filed:** 12/12/2001

**REMARKS**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,

GENENTECH, INC.

Date: August 29, 2002

By:

*Elizabeth M. Barnes*

Elizabeth M. Barnes, Ph. D.

Reg. No. 35,059

Telephone: (650) 225-4563



**09157**

PATENT TRADEMARK OFFICE



**Patent Docket: P2830P1057**

**Serial No.: 10/015395**

**Filed: 12/12/2001**

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

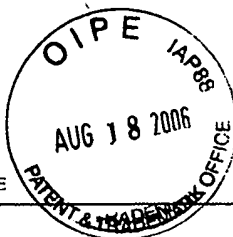
**In the specification:**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.

GRD



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
✓ 10/015,395	12/12/2001	1646	740	39780- 2830057 US C	249	20	3

CONFIRMATION NO. 9919

35489  
HELLER EHRMAN WHITE & MCAULIFFE LLP  
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MENLO PARK, CO 94025-3506

**RECEIVED**

APR 16 2003

HELLER EHRMAN

UPDATED FILING RECEIPT



\*OC000000009818406\*

Date Mailed: 04/10/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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William I. Wood, Hillsborough, CA;

## / Assignment For Published Patent Application

Genentech, Inc.;

DOCKETED RJS

**Domestic Priority data as claimed by applicant**

This application is a CON of 09/946,374 09/04/2001  
which is a CON of PCT/US00/04342 02/18/2000  
which claims benefit of 60/144,758 07/20/1999  
and is a CIP of 09/403,297 10/18/1999 ABN  
which is a 371 of PCT/US99/20111 09/01/1999  
which claims benefit of 60/101,477 09/23/1998

**Foreign Applications**

If Required, Foreign Filing License Granted: 05/15/2002

Projected Publication Date: 07/17/2003

Non-Publication Request: No

Early Publication Request: No

**Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same

**Preliminary Class**

536

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**NOT GRANTED**

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